

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

**Thomas Becker**

Application No. 10/039,566

Filed: **November 9, 2001**

For: **DIRECT MAILING DEVICE**

) Group Art Unit: 3722  
)  
) Examiner: **Not Yet Assigned**  
)

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*Paula M. Theismann*  
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TECHNOLOGY CENTER R3700

Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. section 1.97(b))**

**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Respectfully submitted,

Dated: February 14, 2003

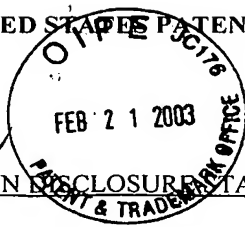
By: *Jordan A. Sigale*

Jordan A. Sigale  
Registration No. 39,028  
SONNENSCHN NATH & ROSENTHAL  
P.O. Box 061080  
Wacker Drive Station, Sears Tower  
Chicago, Illinois 60606-1080  
(312) 876-8000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Thomas Becker  
 SERIAL NO. 10/039,566  
 FILING DATE: November 9, 2001  
 INVENTION: Direct Mailing Device

ATTORNEY DOCKET NO. 9777000-0022  
 GROUP ART UNIT: 3722  
 EXAMINER: Not yet assigned



3722  
 #3

COMMISSIONER OF PATENTS  
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INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

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TECHNOLOGY CENTER

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
  - ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
  - ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37, C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

By Jordan A. Sigale, Reg. 39,028  
 Jordan A. Sigale  
 Sonnenschein, Nath & Rosenthal  
 P.O. Box 061080  
 Wacker Drive Station, Sears Tower  
 Chicago, Illinois 60606  
 Telephone (312) 876-8000

When phoning re this application,  
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CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Commissioner of Patents at the United States Patent and Trademark Office, Box DD, Washington, DC 20231 via first class mail on February 14, 2003

Paula M. Theismann